

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03692.P054D2
(maximum 12 characters)

First Named Inventor Donald Ray Disney

Title: HIGH-VOLTAGE TRANSISTOR WITH BURIED CONDUCTION LAYER

Express Mail Label No. EU019245932US

ADDRESS TO: **Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. XX **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. XX **Specification (Total Pages 19)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. XX **Drawings(s) (35 USC 113) (Total Sheets 3)**
5. XX **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. X Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. XX **Application Data Sheet. (37 CFR 1.76)**
7. **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. **Computer Readable Form (CRF)**
 - b. **Specification Sequence Listing on:**
 - i. **CD-ROM or CD-R (2 copies); or**
 - ii. **paper**
 - c. **Statement verifying identity of above copies**

ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☒ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Nonpublication Request under 37 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: Power of Attorney by Assignee and Revocation of Previous Powers (from parent)

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
 Of Prior Application No.: 10/392,622 Examiner Long K. Tran Group Art Unit 2818
 (which is a ☒ continuation/ ☐ divisional/ ☐ CIP of prior application no. 10/292,744,
 which is a ☒ continuation/ ☐ divisional/ ☐ CIP of prior application no. 10/176,325),
 which is a ☒ continuation/ ☐ divisional/ ☐ CIP of prior application no. 09/769,649) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
 For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Power Integrations, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 011480 Frame No. 0355 (or a copy of which is attached).

19. Correspondence Address

☒ Customer Number or Bar Code Label 27660
 or (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME Bradley J. Berezna
BURGESS & BEREZNAK, LLP
 ADDRESS 800 West El Camino Real
Suite 180
 CITY Mountain View STATE California ZIP CODE 94040
 Country U.S.A. TELEPHONE (650) 903-2264 FAX (650) 903-2280

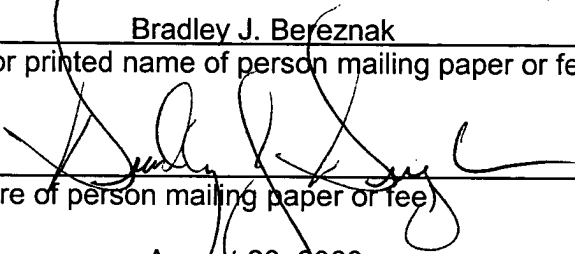
Name (PRINT/TYPE): Bradley J. Berezna Registration No.: 33,474

Signature:  Date: 8/26/03

"Express Mail" mailing label number: EU019245932US
Date of Deposit: August 26, 2003

I hereby certify that I am causing this Utility Transmittal and copy thereof, attached Fee Transmittal and copy thereof, attached Application Data Sheet, specification, drawings, executed Declaration, Postcard, and a check in the amount of \$417.00, to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that these papers and fee have been addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450

Bradley J. Berezna
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

August 26, 2003
(Date signed)

FEE TRANSMITTAL FOR FY 2003**TOTAL AMOUNT OF PAYMENT (\$)** \$ 750.00**Complete if Known:**

Application No. Not Yet Assigned
 Filing Date August 26, 2003 (Concurrently Herewith)
 First Named Inventor Donald Ray Disney
 Group Art Unit Not Yet Assigned
 Examiner Name Not Yet Assigned
 Attorney Docket No. 03692.P054D2

METHOD OF PAYMENT (check one)

1. ☒ [XX] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 502060
 Deposit Account Name BURGESS & BEREZNAK, LLP

☐ [XX] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ [XX] Applicant claims small entity status. See 37 CFR 1.27

2. ☒ X Payment Enclosed: ☒ X Check (\$750.00)
☐ Money Order
☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Code	Fee (\$)	Code	Fee (\$)		
1001	750	2001	375	Utility application filing fee	<u>750.00</u>
1002	330	2002	165	Design application filing fee	<u> </u>
1008	520	2008	260	Plant filing fee	<u> </u>
1004	750	2004	375	Reissue filing fee	<u> </u>
1005	160	2005	80	Provisional application filing fee	<u> </u>
SUBTOTAL (1) \$					<u>750.00</u>

2. EXTRA CLAIM FEES

			<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>13</u>	- 20** =	<u>0</u>	X <u>18.00</u>	= <u>0.00</u>
Independent Claims	<u>3</u>	- 3** =	<u>0</u>	X <u>84.00</u>	= <u>0.00</u>
Multiple Dependent				<u>280.00</u>	= <u>0.00</u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Code	Fee (\$)	Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**R issue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Larg Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	750	2810	375	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,300	1454	1,300	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Bradley J. Bereznak, Esq.Signature:  Date: 8/26/03Reg. Number: 33,474 Phone Number: (650) 903-2264